

Country Philippines
PLCName BDO Insurance Brokers, Inc.
StockCode
Expert Name
Sector Financials
Year 2012
Date of Financial Yea 31/12/2012

		Source Document/ Location of Information	Yes / No	Point	REMARKS
D Disclosure and Transparency					
D.1 Transparent ownership structure					
D.1.1	Does the information on shareholdings reveal the identity of beneficial owners, holding 5% shareholding or more?	General Information Sheet	Y	1	
D.1.2	Does the company disclose the direct and indirect (deemed) shareholdings of major and/or substantial shareholders?	General Information Sheet	Y	1	
D.1.3	Does the company disclose the direct and indirect (deemed) shareholdings of directors (commissioners)?	General Information Sheet	Y	1	
D.1.4	Does the company disclose the direct and indirect (deemed) shareholdings of senior management?	General Information Sheet	Y	1	
D.1.5	Does the company disclose details of the subsidiaries, associates, joint ventures and special purpose enterprises/ vehicles (SPEs)/ (SPVs)?	General Information Sheet	Y	1	
D.2 Quality of Annual Report					
	Does the company's annual report disclose the following items:				
D.2.1	Key risks	Audited Financial Statement/Risk Management Objectives and Policies	Y	1	
D.2.2	Corporate objectives	Articles of Incorporation/Primary Purpose and Secondary Purpose	Y	1	
D.2.3	Financial performance indicators	Financial Indicators	Y	1	
D.2.4	Non-financial performance indicators	Financial Indicators	Y	1	
D.2.5	Dividend policy	BY-Laws/Audit of Books, Fiscal Year and Dividends Section	Y	1	
D.2.6	Details of whistle-blowing policy		N	0	
D.2.7	Biographical details (at least age, qualifications, date of first appointment, relevant experience, and any other directorships of listed companies) of directors/commissioners	BDO Unibank, Inc. Annual Report(Parent Company)/Directors Profile	Y	1	

D.2.8	Training and/or continuing education programme attended by each director/commissioner	BDO Unibank, Inc. Annual Report(Parent Company)/Corporate Governance/Employees	Y	1	
D.2.9	Number of board of directors/commissioners meetings held during the year	BDO Unibank, Inc. Annual Report(Parent Company)/Corporate Governance/Board Meetings	Y	1	
D.2.10	Attendance details of each director/commissioner in respect of meetings held	BDO Unibank, Inc. Annual Report(Parent Company)/Corporate Governance/Board Meetings	Y	1	
D.2.11	Details of remuneration of the CEO and each member of the board of directors/commissioners		N	0	
	Corporate Governance Confirmation Statement				
D.2.12	Does the Annual Report contain a statement confirming the company's full compliance with the code of corporate governance and where there is non-compliance, identify and explain reasons for each such issue?	BDO Unibank, Inc. Annual Report(Parent Company)/Corporate Governance	Y	1	

D.3. Disclosure of related party transactions (RPT)

D.3.1	Does the company disclose its policy covering the review and approval of material/significant RPTs?	Audited Financial Statement/Related Party Transaction	Y	1	
D.3.2	Does the company disclose the name of the related party and relationship for each material/significant RPT?	Audited Financial Statement/Related Party Transaction	Y	1	
D.3.3	Does the company disclose the nature and value for each material/significant RPT?	Audited Financial Statement/Related Party Transaction	Y	1	

D.4 Directors and commissioners dealings in shares of the company

D.4.1	Does the company disclose trading in the company's shares by insiders?		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO Unibank Inc.
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D.5 External auditor and Auditor Report

D.5.1	Are audit fees disclosed?		N	0	
	Where the same audit firm is engaged for both audit and non-audit services,				
D.5.2	Are the non-audit fees disclosed?		N	0	
D.5.3	Does the non-audit fees exceed the audit fees?		N/A	0	

D.6 Medium of communications

	Does the company use the following modes of communication?				
D.6.1	Quarterly reporting	Secretary Certificate/Summary of the Meetings of the Board	Y	1	
D.6.2	Company website	Parent Company website	Y	1	
D.6.3	Analyst's briefing		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO
D.6.4	Media briefings /press conferences	Parent Company website/ Press Room	Y	1	

D.7 Timely filing/release of annual/financial reports

D.7.1	Is the audited annual financial report released within 120 days from the financial year end?	Announcement/ Copy of the Audited Financial Statement	Y	1	
D.7.2	Is the audited annual financial report released within 90 days from the financial year end?	Announcement/ Copy of the Audited Financial Statement	Y	1	
D.7.3	Is the audited annual/financial report released within 60 days from the financial year end?		N	0	
D.7.4	Is the true and fairness/fair representation of the annual financial statement/reports affirmed by the board of directors/commissioners and/or the relevant officers of the company?	Minutes of the Annual Stockholders Meeting	Y	1	

D.8 Company website

	Does the company have a website disclosing up-to-date information on the following:				
D.8.1	Business operations	Parent Company website	Y	1	
D.8.2	Financial statements/reports (current and prior years)		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO
D.8.3	Materials provided in briefings to analysts and media		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO
D.8.4	Shareholding structure		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO
D.8.5	Group corporate structure		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO
D.8.6	Downloadable annual report		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO
D.8.7	Notice of AGM and/or EGM		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO

D.8.8	Company's constitution (company's by-laws, memorandum and articles of association)		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO
D.8.9	All of the above (D.8.1 to D.8.8) are available in English	Parent Company website	Y	1	

D.9 Investor relations

D.9.1	Does the company disclose the contact details (e.g. telephone, fax, and email) of the officer responsible for investor relations?		N/A	0	Not a Publicly Listed Company / BDOIBI is 100% owned by BDO Unibank Inc.
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