

CIF Number
(for internal use)

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I. ON YOUR DNFBP STATUS AND AMLC REGISTRATION

Are you registered with the Anti Money Laundering Council (AMLC)?

- Yes. I/We will provide a copy of the Provisional Certificate of Registration (PCOR) / Certificate of Registration (COR) issued by the AMLC.
- No, but I/We are in the process of registering with the AMLC and will provide supporting documents on the status of the registration.
- No, because I/We are not required to register with the AMLC and will provide justification and supporting documents.

What kind of Designated Non-Financial Business and Profession (DNFBP) are you?

- Jewelry dealer in precious metals, who, as a business, trade in precious metals
- Jewelry dealer in precious stones, who, as a business, trade in precious stones
- Company service provider which, as a business, acts as a formation agent of juridical persons
- Company service provider which, as a business, acts as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- Company service provider which, as a business, provides a registered office, business address or accomodation, correspondence or administrative address for a company, a partnership or any legal person or arrangement
- Company service provider which, as a business, acts as (or arranging for another person to act as) a nominee shareholder for another person
- An individual, including lawyers and accountants, who manage a client's money, securities or other assets
- An individual, including lawyers and accountants, who manage a client's bank, savings, securities or accounts
- An individual, including lawyers and accountants, who organize and contribute to the creation, operation or management of companies
- An individual, including lawyers and accountants, who create, operate or manage juridical persons or arrangements, and the buying and selling of business entities
- An individual or company engaged in Real Estate brokerage and / or property development
- A company that is an Offshore Gaming Operator / Business (OGO/OGB) or a Service Provider for an Offshore Gaming Operator / Business (OGO/OGB SP)

II. ANTI MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM CONTROLS

Do you or your company have a Money Laundering and Terrorism Financing Prevention Program (MTPP) Manual in place that is compliant with all applicable Anti-Money Laundering and Combating the Financing of Terrorism (AML/CFT) laws, rules and regulations? Yes No

Does your MTPP include policies and procedures that cover all of the following areas, including all the requirements prescribed under the applicable AML/CFT laws, rules and regulations? Indicate all areas that are covered in your MTPP with a checkmark

- On-Boarding and Know-Your-Customer (KYC)/Customer Due Diligence (CDD), including but not limited to customer and beneficial ownership identification, gathering of all required information and documents on the client and business activities/financial information, screening, risk assessment, and conduct of appropriate due diligence (i.e. enhanced due diligence for High Risk clients, average due diligence for normal risk clients, and reduced due diligence for normal risk clients)
- Ongoing Monitoring of Customers/Clients and their activities/transactions
- Required Regulatory Reporting (including but not limited to Covered Transaction Reports and Suspicious Transactions Reports)
- Record Keeping and Retention
- AML/CTF Training
- INTERNAL Audits and Independent Review of Compliance with AML/CFT laws, rules and regulations
- EXTERNAL Audits and Independent Review of Compliance with AML/CFT laws, rules and regulations
- REGULATORY Audits and Independent Review of Compliance with AML/CFT laws, rules and regulations

Do you or your organization have AML/CTF compliance implementation issues / concerns? If 'Yes', provide details in the space provided.

- Yes No

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Do you or your organization have any relevant and adverse AML/CTF information to disclose? If 'Yes', provide details in the space provided.

- Yes No

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CUSTOMER UNDERTAKING

By signing, I hereby certify that the information in this form is true and correct to the best of my knowledge. I undertake to advise BDO Group and provide documentation for any changes to the above information.

Signature over Printed Name

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Date Signed
(mm / dd / yyyy)

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