

APPLICATION INFORMATION

Customer Code

PSE ID

RM Code

CIF Number

Account Name

APPLICATION REQUIREMENTS

FORM REQUIREMENTS

- Form A1-A2 - Personal Account Form
- Form A3 - Signature Card
- Form D1-D3 - Wealth Account Form
- Form D4 - Master Brokerage Appointment
- Investment Instruction Form (if applicable)

DUE DILIGENCE REQUIREMENTS (IF APPLICABLE)

- Form A6 - Political Relations and Affiliations
- Form A7 - Foreign Account Tax Compliance Act (FATCA) Due Diligence Form
- Form A8 - Online Gaming Business (OGB) Due Diligence Form
- Form A9 - Designated Non Financial Business and Profession (DNFBP) Due Diligence Form
- Form D10 - Related Parties and Affiliations

DOCUMENTARY REQUIREMENTS

- Valid ID
- DTI Registration and Mayor's Permit (if Sole Proprietor)
- ACR / ICR / Special Investors Philippine Residency (if Resident Foreign Investor)

PROCESS REQUIREMENTS

- NLDS / PEP Verification
- EDD Questionnaire for High Risk Customers
- BDO Branch Email Confirmation

ADDITIONAL REQUIREMENTS

- FOR ASSOCIATIONS**
 1. Agreement of the parties evidencing such association
- FOR CASINOS**
 1. AMLA Certificate of Registration
 2. Authority granted by Appropriate Government Agency (AGA)
 3. Sworn certification of Adoption of a new MTPP approved by Board of Directors and received by AMLA / AGA
- FOR DESIGNATED NON-FINANCIAL BUSINESSES AND PROFESSIONS**
 1. AMLC Provisional Certificate of Registration or Certificate of Registration
- FOR FOREIGN EXCHANGE DEALERS / REMITTANCE COMPANIES**
 1. BSP Certificate of Registration
 2. AMLC Certificate of Registration
- FOR GOVERNMENT OWNED AND CONTROLLED CORPORATIONS (GOCCs)**
 1. Charter / Law
 2. BSP Approval for Private Banks to accept government deposits
- FOR NPOs, NGOs AND FOUNDATIONS**
 1. Certificate of accreditation from the Phil. Council for NGO Certification
- FOR PARISHES**
 1. Authorization from the Bishop or Parish Priest
- FOR PAWNSHOPS**
 1. BSP Certificate of Authority
 2. AMLC Certificate of Registration (validity period is 2 years)

APPLICATION APPROVALS

Reviewed By (Signature over Printed Name)

Acknowledged By (Signature over Printed Name)

Date Opened / Updated (mm / dd / yyyy)

 / /