

CIF Number
(for internal use)

I. CUSTOMER INFORMATION

Customer Name

II. ON YOUR DNFBP STATUS AND AMLC REGISTRATION

Are you registered with the Anti Money Laundering Council (AMLC)?

- ☐ Yes. I/We will provide a copy of the Provisional Certificate of Registration (PCOR) / Certificate of Registration (COR) issued by the AMLC.
- ☐ No, but I/We are in the process of registering with the AMLC and will provide supporting documents on the status of the registration.
- ☐ No, because I/We are not required to register with the AMLC and will provide justification and supporting documents.

What kind of Designated Non-Financial Business and Profession (DNFBP) are you?

- ☐ Jewelry dealer in precious metals, who, as a business, trade in precious metals
- ☐ Jewelry dealer in precious stones, who, as a business, trade in precious stones
- ☐ Company service provider which, as a business, acts as a formation agent of juridical persons
- ☐ Company service provider which, as a business, acts as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ Company service provider which, as a business, provides a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any legal person or arrangement
- ☐ Company service provider which, as a business, acts as (or arranging for another person to act as) a nominee shareholder for another person
- ☐ An individual, including lawyers and accountants, who manage a client's money, securities or other assets
- ☐ An individual, including lawyers and accountants, who manage a client's bank, savings, securities or accounts
- ☐ An individual, including lawyers and accountants, who organize and contribute to the creation, operation or management of companies
- ☐ An individual, including lawyers and accountants, who create, operate or manage juridical persons or arrangements, and the buying and selling of business entities
- ☐ An individual or company engaged in Real Estate brokerage and / or property development
- ☐ A company that is an Offshore Gaming Operator / Business (OGO/OGB) or a Service Provider for an Offshore Gaming Operator / Business (OGO/OGB SP)

III. ONGOING MONITORING

Is your business classified under the online gaming industry? If 'Yes', identify the Appropriate Government Agency (AGA) that issued your license ☐ Yes ☐ No

- ☐ PAGCOR ☐ CEZA ☐ APECO ☐ AFAB ☐ Others

Does your business provide service or have dealings / transactions with the online gaming industry? If 'Yes', identify the category/ies it belongs to ☐ Yes ☐ No

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|--|---|---|---|
| <input type="checkbox"/> Customer Relations Provider | <input type="checkbox"/> Live Studio and Streaming Provider | <input type="checkbox"/> Strategic Support Provider | <input type="checkbox"/> Real Estate Brokers and Developers |
| <input type="checkbox"/> Gaming Software / Platform Provider | <input type="checkbox"/> POGO Hub / Real Estate | <input type="checkbox"/> Offshore Gaming Operator | <input type="checkbox"/> Individuals acting as Real Estate Agents/Brokers |
| <input type="checkbox"/> IT Support Provider | <input type="checkbox"/> Special Class of BPO | <input type="checkbox"/> Offshore Gaming Operator Service | |

Do you have related interest / relationship with any business or service provider under the online gaming industry? If 'Yes', identify your position/s and relationship/s ☐ Yes ☐ No

- ☐ 20% Stockholder ☐ Employee ☐ Others
- ☐ Primary Officer (President, Treasurer, Secretary, etc.)
- ☐ 20% Ultimate Beneficial Owner

Do you process transactions related to the online gaming industry? If 'Yes', identify the type/s of transactions ☐ Yes ☐ No

- ☐ Remittance facilitation between online gaming business and its clients ☐ Others
- ☐ Processing of payouts

What are the names of the clients and counter-parties that you are servicing?

IV. ANTI MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM CONTROLS

Do you or your company have a Money Laundering and Terrorism Financing Prevention Program (MTPP) Manual in place that is compliant with all applicable Anti-Money Laundering and Combating the Financing of Terrorism (AML/CFT) laws, rules and regulations?

☐ Yes ☐ No

Does your MTPP include policies and procedures that cover all of the following areas, including all the requirements prescribed under the applicable AML/CFT laws, rules and regulations? Indicate all areas that are covered in your MTPP with a checkmark

- ☐ On-Boarding and Know-Your-Customer (KYC)/Customer Due Diligence (CDD), including but not limited to customer and beneficial ownership identification, gathering of all required information and documents on the client and business activities/financial information, screening, risk assessment, and conduct of appropriate due diligence (i.e. enhanced due diligence for High Risk clients, average due diligence for normal risk clients, and reduced due diligence for low risk clients)
- ☐ Ongoing Monitoring of Customers/Clients and their activities/transactions
- ☐ Required Regulatory Reporting (including but not limited to Covered Transaction Reports and Suspicious Transactions Reports)
- ☐ Record Keeping and Retention
- ☐ AML/CFT Training
- ☐ INTERNAL Audits and Independent Review of Compliance with AML/CFT laws, rules and regulations
- ☐ EXTERNAL Audits and Independent Review of Compliance with AML/CFT laws, rules and regulations
- ☐ REGULATORY Audits and Independent Review of Compliance with AML/CFT laws, rules and regulations

Do you or your organization have AML/CFT compliance implementation issues / concerns? If 'Yes', provide details in the space provided.

☐ Yes ☐ No

Do you or your organization have any relevant and adverse AML/CFT information to disclose? If 'Yes', provide details in the space provided.

☐ Yes ☐ No

V. CUSTOMER UNDERTAKING

By signing, I hereby certify that the information in this form is true and correct. I undertake to advise BDO Group and provide documentation for any change to the above information.

In the event that I/we engage in DNFBP business/activities, I/we will submit immediately the required Certificate of Registration (COR) or Provisional Certificate of Registration (PCOR) issued by the Anti-Money Laundering Council (AMLC) for Designated Non-Financial Businesses and Professions (DNFBPs) and/or any other documents that may be required by BDO Group.

Signature over printed name

Date Signed
(mm/dd/yyyy)

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