BDO **A8 - Designated Non-Financial Business and Profession (DNFBP) Due Diligence Form**

CIF Number
(for internal use)

I. CUSTOMER INFORMATION

Customer Name

II. ON YOUR DNFBP STATUS AND AMLC REGISTRATION

What kind of Designated Non-Financial Business and Profession (DNFBP) are you?

- ○ Jewelry dealer
- ○ Dealer in precious metals, who, as a business, trade in precious stones and/or metals
- ○ Company service provider which, as a business, acts as a formation agent of juridical persons
- ○ Company service provider which acts as corporate director/secretary, a partner of partnership, or similar position in other juridical persons
- ○ Company service provider which provides a registered office, business/administrative address for a company, a partnership or other legal persons
- ○ Company service provider which, as a business, acts as (or arranging for another person to act as) a nominee shareholder for another person
- ○ A person, including lawyer and/or accountant, law firm and/or accounting firm, which manages client's money, securities or other assets
- ○ A person, including lawyer and/or accountant, law firm and/or accounting firm, which manages client's bank, savings, securities or accounts
- ○ A lawyer and/or accountant, law and/or accounting firm, which organizes contributions for the creation, operation or management of companies
- ○ A lawyer and/or accountant, law and/or accounting firm, which creates/operates/manages juridical persons, and buys and sells business entities
- ○ A licensed individual real estate broker duly registered and licensed by the PRC
- ○ An individual or a company/partnership engaged in real estate development for his/her or its own account and offering them for sale or lease
- ○ A casino/s, including internet and ship-based casinos supervised, accredited, or regulated by PAGCOR or any Appropriate Government Agency (AGA)
- ○ A company that is an Offshore Gaming Operator (OGO) or a company which provides components of offshore gaming operations to OGOs (OGO-SP)
- ○ None of the above (If you select this, you may skip to Part V - Customer Undertaking)

Are you registered with the Anti Money Laundering Council (AMLC)?

- ○ Yes. I/We will provide a copy of the Provisional Certificate of Registration (PCOR) / Certificate of Registration (COR) issued by the AMLC.
- ○ No, but I/We are in the process of registering with the AMLC and will provide supporting documents on the status of the registration.
- ○ No, because I/We are not required to register with the AMLC and will provide justification and supporting documents.

III. ONGOING MONITORING

Is your business classified as an Offshore Gaming Operator (OGO) or Offshore Gaming Operator Service Provider (OGO-SP)? ○ Yes ○ No
If 'Yes', identify the Appropriate Government Agency (AGA) that issued your license

○ PAGCOR ○ CEZA ○ APECO ○ AFAB ○ Others

Does your business provide service or have dealings / transactions with an OGO-SP? ○ Yes ○ No
If 'Yes', identify the category/ies it belongs to

- ☐ Customer Relations Provider
- ☐ Live Studio and Streaming Provider
- ☐ Strategic Support Provider
- ☐ Gaming Software / Platform Provider
- ☐ POGO Hub / Real Estate
- ☐ Offshore Gaming Operator
- ☐ IT Support Provider
- ☐ Special Class of BPO
- ☐ Offshore Gaming Operator Service Provider

Do you have related interest / relationship with an OGO or OGO-SP? ○ Yes ○ No
If 'Yes', identify your position/s and relationship/s

- ☐ Owner / Stockholder (Indicate % Ownership) %
- ☐ Primary Officer (CEO, President, CFO/Treasurer, General Partner)
- ☐ Rank and File Employee
- ☐ Director
- ☐ Non-Primary / Regular Officer
- ☐ Others (Indicate in provided field)

Do you process transactions related to an OGO or OGO-SP? If 'Yes', identify the type/s of transactions ○ Yes ○ No

- ☐ Remittance facilitation between OGO or OGO-SP and its clients
- ☐ Others
- ☐ Processing of payouts

What are the names of the clients and counter-parties that you are servicing?

IV. ANTI MONEY LAUNDERING AND COMBATING THE FINANCING OF TERRORISM CONTROLS

Do you or your company have a Money Laundering and Terrorism Financing Prevention Program (MTPP) Manual in place that is compliant with all applicable Anti-Money Laundering and Combating the Financing of Terrorism (AML/CFT) laws, rules and regulations? ○ Yes ○ No

Does your MTPP include policies and procedures that cover all of the following areas, including all the requirements prescribed under the applicable AML/CFT laws, rules and regulations? Indicate all areas that are covered in your MTPP with a checkmark

- ☐ **On-Boarding and Know-Your-Customer (KYC)/Customer Due Diligence (CDD), including but not limited to customer and beneficial ownership identification, gathering of all required information and documents on the client and business activities/financial information, screening, risk assessment, and conduct of appropriate due diligence (i.e. enhanced due diligence for High Risk clients, average due diligence for normal risk clients, and reduced due diligence for low risk clients)**
- ☐ **Ongoing Monitoring of Customers/Clients and their activities/transactions**
- ☐ **Required Regulatory Reporting (including but not limited to Covered Transaction Reports and Suspicious Transactions Reports)**
- ☐ **Record Keeping and Retention**
- ☐ **AML/CFT Training**
- ☐ **Audits and Independent Review of Compliance with AML/CFT laws, rules and regulations** (Select all that are applicable)
  - ☐ **Internal** ☐ **External** ☐ **Regulatory**
- ☐ **Provisions on the following** (Select all that are applicable)
  - ☐ **Mechanism that ensures all deficiencies noted during Independent Review of compliance are immediately corrected and acted upon**
  - ☐ **Cooperation with the AMLC**
  - ☐ **Designation of a Compliance Officer**
  - ☐ **Identification, assessment and mitigation of M L/TF risks that may arise from new business practices, services, technologies and products**

Do you or your organization have AML/CFT compliance implementation issues / concerns? If 'Yes', provide details in the space provided.

○ **Yes** ○ **No**

Do you or your organization have any relevant and adverse AML/CFT information to disclose? If 'Yes', provide details in the space provided.

○ **Yes** ○ **No**

V. CUSTOMER UNDERTAKING

By signing, I hereby certify that the information in this form is true and correct. I undertake to advise BDO Group and provide documentation for any change to the above information.

In the event that I/we engage in DNFBP business/activities, I/we will submit immediately the required Certificate of Registration (COR) or Provisional Certificate of Registration (PCOR) issued by the Anti-Money Laundering Council (AMLC) for Designated Non-Financial Businesses and Professions (DNFBPs) and/or any other documents that may be required by BDO Group.

Signature over printed name

Date Signed
(mm/dd/yyyy)

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